

Draft FCPF Monitoring and Evaluation Framework

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Background & Scope

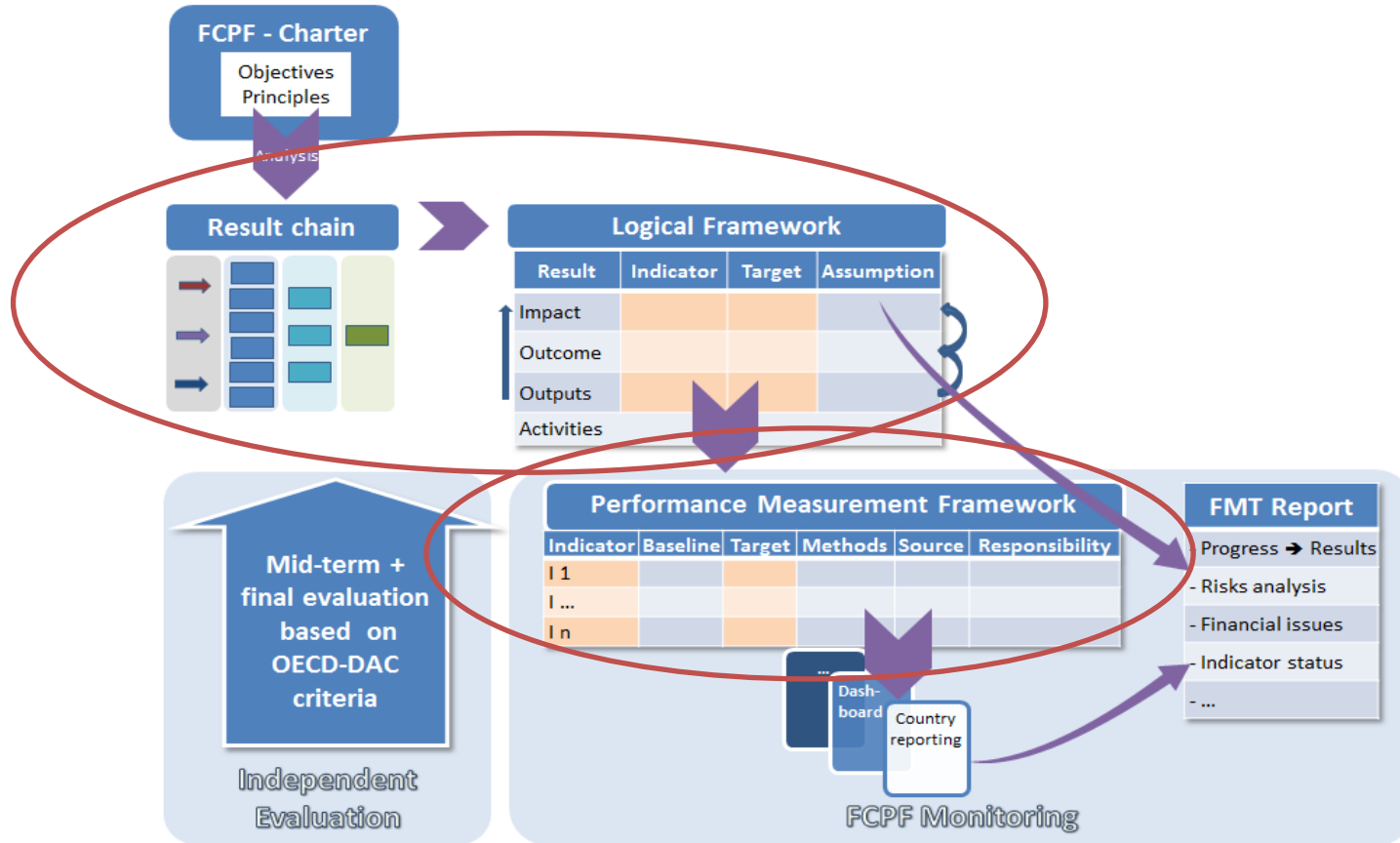
PC11 mandated preparation of a M&E framework for the FCPF until 2020.

- Monitoring focuses on efficiency and effectiveness – semi-annual - internal
- Evaluation: covers all 5 OECD/DAC criteria - at set intervals – external

Two central building blocks:

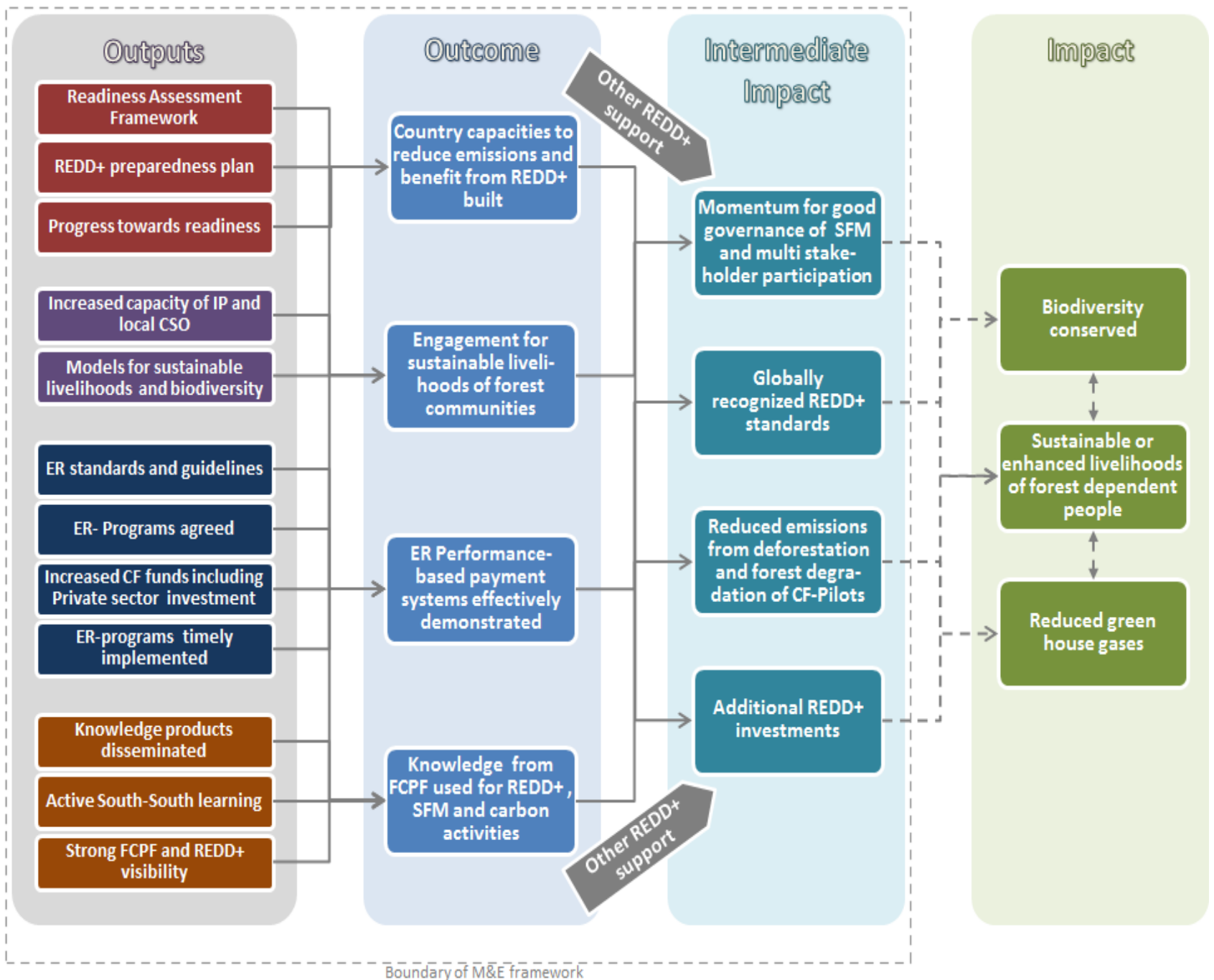
- Result Chain and Logical Framework
- Performance Measurement Framework (PMF)

Conceptual basis and scope of framework



Objectives defined in the charter

1. **To assist eligible REDD Countries** in their efforts to achieve Emission Reductions (ER) from deforestation and/or forest degradation **by providing them with financial and technical assistance in building their capacity** to benefit from possible future systems of positive incentives for REDD;
2. **To pilot a performance-based payment system for ER generated from REDD activities**, with a view to ensuing equitable sharing and promoting future large scale positive incentives for REDD;
3. Within the approach to REDD, to **test ways to sustain or enhance livelihoods of local communities and to conserve biodiversity**; and
4. **To disseminate broadly the knowledge gained** in the development of the Facility and implementation of Readiness Plans and Emission Reductions Programs.



Outcomes and Indicators

Outcome 1 (Countries Capacity on REDD+)

- relates to the RF
- indicator measures the capacities of REDD countries to benefit from a system of positive incentives for REDD+
- progress on readiness phase will be provided by REDD countries to the Participants Committee (PC) based on an assessment framework.

Outcome 2 (Piloting performance-based payment systems for emission reductions generated from REDD+ activities)

- relates to the CF
- Indicator formulation is rather careful as the future funding level is not fully known yet, (for the moment limited to five countries by 2015)

Outcomes and Indicators

Outcome 3 (test models that help sustain or enhance **livelihoods** of local forest communities and conserve **biodiversity** at the same time)

- integral part of any REDD+ project and also ER-program criteria.
- Indicators relate to amount of funds that address these issues and use examples as a measure

Outcome 4, (disseminate broadly the knowledge gained in the development of the Facility and piloting)

- is transversal to the three previous outcomes
- underlines the “learning-by-doing” character of the FCPF
- indicators measure interest expressed by new countries in FCPF and use of knowledge products

Logical Framework (excerpt)

Level	Results	Indicators	Targets by 2020	Asumptions
Impact 1	Reduced emissions from deforestation and forest degradation from CF portfolio countries	I.1.A. Number of tons of CO ₂ emissions from deforestation and forest degradation reduced in CF supported interventions	Tbd by ERPAs	
Impact 3	The FCPF has generated momentum to address governance and transparency issues and policy reforms related to sustainable forest resource management REDD+	<p>I.3.A. Degree to which decision making processes related to emission reductions and forest resource management in participant countries allow for active multi-stakeholder participation</p> <p>I.3.B. Nb. of policy reforms completed or underway complying to REDD+ standards in a participant country</p>	<p>I.3.A. Improved active multi-stakeholder participation</p> <p>I.3.B. n/a</p>	

Logical Framework (excerpt)

Level	Results	Indicators	Targets by 2020	Asumptions
Outcome 2	Selected FCPF countries demonstrate key elements of performance-based payment systems for emission reductions generated from REDD+ activities with a view to ensuring equitable benefit sharing and promoting future large-scale positive incentives for REDD+ (Carbon Fund)	2.A. Number of pilots where carbon accounting, programmatic elements and pricing are operating as planned	2A. 5 + (by 2017)	Interest in performance based payments remains high enough
Output 2.1	Standards and preparations in place for high-quality ER Programmes discussed and endorsed by CF Participants	2.1. Number and types of standards and management tools discussed and endorsed by CF participants for ER programs including: a. Operational procedures b. Business process established (ER-PD, ER-PIN , ERPA) c. Legal documents d. Methods, framework, pricing, etc.	a. fully defined by PC 15 b. endorsed by PC 15 c. Fully defined ERPA Term sheet and general conditions for ERPA by PC 14 d. Fully developed by PC14	During negotiation process, standards are not diluted to a level that would make them ineffective

Logical Framework (excerpt)

Level	Results	Indicators	Targets by 2020	Asumptions
Output 3.2	Pilots have been successfully implemented on ways to sustain and enhance livelihoods and conserve biodiversity	<p>3.2. Number of countries with R-Packages and ER Program submitted to FCPF that demonstrate:</p> <ul style="list-style-type: none"> a. Ongoing active engagement of relevant stakeholders in implementation of national REDD+ Readiness processes b. Testing ways to maintaining or enhancing livelihoods of local communities c. Testing ways to conserve biodiversity d. Inclusion of SESA and advanced draft of ESMF 	<ul style="list-style-type: none"> a. all countries that submit R Packages b. 75% of all ER Program implemented c. 60% of all ER Programs implemented d. 10+ countries for SESA and ESMF 2015 15 + RPs (by 2018) 20+ RPs by 2020 	

Performance Measurement Framework (excerpt)

Indicator	Baseline	Target (by 2020)	Methods, Sources	Frequency	Responsibility
Impact 1 Reduced emissions from deforestation and forest degradation from CF portfolio countries					
I.1.A. Number of tons of CO₂ emissions from deforestation and forest degradation reduced in CF supported interventions	0	Tbd by ERPAs for 2020	MRV system under pilot schemes	Once in 2020	FMT
I.3 The FCPF has generated momentum to address governance and transparency issues and policy reforms related to sustainable forest resource management REDD+					
I.3.A. Degree to which decision making processes related to emission reductions and forest resource management in participant countries allow for active multi-stakeholder participation	A. Level before R-PP process	Improved active multi-stakeholder participation	Review of country progress reporting, GRM, and R-Package	Every six months	FMT
I.3.B. Nb. of policy reforms completed or underway complying to REDD+ standards in Participants' country	B. 0	n/a	Review of country progress reporting and R-Package	Every six months	FMT

Indicator	Baseline	Target (by 2020)	Methods, Sources	Frequency	Responsibility
Outcome 2: Selected FCPF countries demonstrate key elements (carbon accounting, programmatic elements and pricing) of performance-based payment systems for emission reductions generated from REDD+ activities with a view to ensuring equitable benefit sharing and promoting future large-scale positive incentives for REDD+ (Carbon Fund)					
2.A. Number of pilots where carbon accounting, programmatic elements and pricing are operating as planned	0	5+ by 2017	CF meeting proceedings and dashboard Pilot progress & final reports	Every six months Once	FMT External Evaluators
Output 2.1 Standards and preparations in place for high-quality ER Programs discussed and endorsed by CF Participants					
2.1. Number and types of standards and management tools discussed and endorsed by CF participants for ER program including: 2.1.a. Operational procedures 2.1.b. Business processes (ER-D, ER-PIN, ERPA) 2.1.c. Legal documents 2.1.d. Methods, framework, pricing, etc	a. Partly defined b. ER-PIN, ER-PD, ER-PA under development c. Term sheet and general conditions for ERPA under development	a. Defined by PC15 b. Endorsed by PC15 c. Fully defined Term sheet and general conditions for ERPA by PC14 d. Fully developed by PC14	Review of CF meeting proceedings and TAP reports	Every six months	FMT

Performance Measurement Framework (excerpt)

Indicator	Baseline	Target (by 2020)	Methods, Sources	Frequency	Responsibility
Output 3.2 Pilots have been successfully implemented on ways to sustain and enhance livelihoods and conserve biodiversity					
<p>3.2. Number of countries with R-Packages and ER Programs submitted to FCPF that demonstrate:</p> <p>3.2.a. Ongoing active engagement of relevant stakeholders in implementation of national REDD+ Readiness processes</p> <p>3.2.b. Testing ways to maintaining or enhancing livelihoods of local communities</p> <p>3.2.c. Testing ways to conserve biodiversity</p> <p>3.2.d. Inclusion of SESA and advanced draft of ESMF</p>	<p>a.:7</p> <p>b.: tbd</p> <p>c.: tbd</p> <p>d.:1</p>	<p>a. all countries that submit R Packages</p> <p>b.75% of all ER Programs implemented</p> <p>c.60% of all ER Programs implemented</p> <p>d.10+ countries for SESA and ESMF 2015</p> <p>15 + RPs (by 2018)</p> <p>20+ RPs by 2020</p>	<p>All: Review of Country progress reports, R-packages and ER Programs</p>	<p>Every six months</p>	<p>Reporting by countries, Reviewed by TAP. Assessment compiled by FMT</p>

Data collection and reporting

Using existing reporting tools

- FCPF Dashboard
- National and Delivery Partner Reporting

Tools and timing

- Template for FMT result-based management semi-annual reporting to PC
- Countries Mid-term progress reports
- Grant Reporting and Monitoring (GRM) by Delivery Partners

Main parts of the proposed FMT Reporting Format

1. Main achievements and results during the period
 - 1.1 Highlights
 - 1.2 Progress at the impact level *(if any data available)*
 - 1.3 Progress at the outcome level
 - 1.4 Progress by output
2. Issues and challenges
3. Main lessons learned and case studies
4. Monitoring of assumptions and risks
5. Actions/decision to be taken
6. Work plan for the coming period
7. Financial issues
8. Performance measurement reporting framework

Evaluation

- Required by FCPF Charter
- Complements regular monitoring
- Contributes to accountability
- Is independent

First evaluation in 2010/2011 covered first two years of operations of FCPF

- Early stages of implementation
- Covered only Readiness Fund not Carbon Fund
- Impact evaluation was not assessed

Evaluation

Proposed evaluations:

- In 2015 end of RF & Initial stage of CF
- In 2017 focus on CF (mid-term review)
- In 2020 final CF and whole process

Roles & Responsibilities for M&E

FMT:

- (i) inform indicators, in particular for outcomes 3 & 4,
- (ii) ensure data collection, its quality, and periodic aggregation of data for the purpose of reporting to the PC.

PC:

- (i) ensure overall high-quality and timely results on the basis of reporting by FMT,
- (ii) serve as a forum for exchange around reported results.

Roles & Responsibilities for M&E

Countries:

Inform a few of the global indicators required at aggregate level, e.g. reporting on:

- REDD readiness progress under outcome 1,
- pilot test examples under outcome 3,
- dissemination and application of FCPF lessons and knowledge products under outcome 4.

Roles & Responsibilities for M&E

IP and CSOs: active partners to the FCPF reporting on

- examples of ways to improve the outreach and quality of the REDD preparedness process in countries and ER programs, (benefit sharing, biodiversity conservation and livelihoods of local communities)
- report progress with workshops and trainings, e.g. promoting active participation in design and implementation of programs to address enhanced livelihoods and biodiversity conservation.

DPs: help ensure FCPF funding is effectively and efficiency used

Thankyou!